

IN THE CONTED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Stephen K. Horrigan

Serial No:

09/964,824

Art Unit:

1645

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Examiner: Unassigned

Title:

CANCER

GENE DETERMINATION

RMINATION AND

THERAPEUTIC

SCREENING USING SIGNATURE GENE SETS

Docket No:

689290-73

BOX SEQUENCE U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56(a), Applicant(s) hereby cite(s) the enclosed documents listed on the attached copy of Form PTO-1449 which are believed to be material to the patentability of the above-identified application. In addition, a supplemental disclosure statement has been filed citing related copending applications.

This Information Disclosure Statement is filed in accordance with the paragraph of 37 CFR §1.97 indicated below:

X §1.97(b) This Information Disclosure Statement is filed:

- (1) Within three months of the filing date of a national application; OR
- (2) Within three months of the date of entry of the national stage of an international application; OR
- (3) Before the mailing of a first Office Action on the merits. No fee or statement is required.

____§1.97(c) This Information Disclosure Statement is filed after the period specified in paragraph (b) above, but before the mailing date of either:

(1) A Final Action or under 37 CFR §1.113; OR

ed: 27 September 2001 (2) A Notice of Allowance under 37 CFR §1.311; AND is accompanied by either: (check one) The statement as specified in 37 CFR §1.97(e) set out below; OR The fee of \$ 180.00 under 37 CFR §1.17(p). §1.97(d) This Information Disclosure Statement is filed after the mailing date of either: (1) a Final Action or under 37 CFR §1.113; OR A Notice of Allowance under 37 CFR §1.311; (2)BUT filed on or before payment of the Issue Fee; AND is accompanied by: The statement as specified in 37 CFR §1.97(e) as set (1)forth below; AND Petition is hereby made under 37 CFR §1.97(d) for (2) consideration of this Information Disclosure Statement: AND, The petition fee of \$130.00 set out in 37 CFR §1.17(i). (3)§1.97(e) The undersigned Attorney hereby states that: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to the knowledge of the undersigned Attorney after making reasonable inquiry, was known to

any individual designated in 37 CFR §1.56(c) more than three months prior to the filing date of the Information Disclosure

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Statement.





The Commissioner is authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 03-0678.

EXPRESS MAIL CERTIFICATE

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Deposit Date: 23 August 2002

I hereby certify that this paper and the attachments hereto are being deposited today with the U.S. Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above addressed to:

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Alan J. Grant, Esq.

Date

Respectfully submitted,

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